



Citation: 2021 BCSECCOM 454

Revocation Order

ABT Capital Markets Inc.

Under the securities legislation of British Columbia (Legislation)

Background

- ¶ 1 ABT Capital Markets Inc. (the Issuer) is subject to a failure-to-file cease trade order (the FFCTO) issued by the regulator of the British Columbia Securities Commission (the Principal Regulator) on November 3, 2021.
- ¶ 2 The Issuer has filed the continuous disclosure documents required under the Legislation.

Interpretation

- ¶ 3 Terms defined in National Instrument 14-101 *Definitions* and NP 11-207 *Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions* have the same meaning if used in this order, unless otherwise defined.

Order

- ¶ 4 The Principal Regulator is satisfied that the order to revoke the FFCTO meets the test set out in the Legislation for the Principal Regulator to make this decision.
- ¶ 5 The decision of the Principal Regulator under the Legislation is that the FFCTO is revoked as it applies to the Issuer.
- ¶ 6 November 29, 2021

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